# Differential Object Marking in Old Japanese: A corpus based study

Bjarke FRELLESVIG (Oxford / Oslo) Stephen Wright HORN (Oxford) Yuko YANAGIDA (Tsukuba)

The accusative in Old Japanese

Differential Object Marking in Old Japanese

Old Japanese; 8th century AD

The Oxford Ocorpus of Old Japanese (OCOJ)

vsarpj.orinst.ox.ac.uk/corpus/

Original text

Phonemic transcription

#### Annotation

XML mark-up following the standards of the

Text Encoding Initiative (TEI)

Manual mark-up

#### **Annotation**

Writing

Part-of-speech

Lemmatization (Lexeme and morpheme UID)

Morphology, inflection

Syntax

Sentence

Clause

Noun phrase

#### All poetic texts from the period

Kojiki kayō, Nihon shoki kayō, Fudoki kayō, Bussokuseki-ka, Shoku nihongi kayō, Manyōshū

4979 poems, 89,419 words.

#### Accusative case particle wo

Object marking

Marking of other arguments

Adjunct marking

Resultatives

Absolute constructions

**Exclamation** 

#### **Object marking**

() 小松 下 乃
kwomatu <u>ga</u> sita *no*small.pine GEN under GEN

草 乎 苅 核
kaya wo kara-sane
grass ACC cut-please

'Please cut the grass under the small pine' (MYS 1.11)

#### Marking of other arguments

() 春避而 **野邊尾**廻者

paru sarite **nwopye** wo megureba

Spring come **field ACC** meander

"as spring comes on, when we meander **around the fields**" (MYS.16.3791)

() 妹之田本乎 加流類比來

**imo ga tamoto wo** karuru ko no koro

beloved GEN sleeve ACC go.away theses days

"these days when I am **away from my beloved's sleeve**" (11.2668)

#### **Adjunct marking**

() 宇波弊無 物可聞 人者 然許

upapye na-ki mono kamo pito pa sika bakari
surface not.exist thing SFP people TOP this.way RES

**遠家路乎** 令還 念者

topo-ki ipyedi wo kapyesaku omopeba

distant home.road ACC send.back think.when

"She) has no pretense (to civility), this person, when I think of (her) sending (me) back **on the long road home** in this way." (MYS.4.631)

#### **Resultatives**

()	久堅乃	天歸	月乎	網尓	刺
	pisakata no	ame yuku	tukwi wo	ami <i>ni</i>	sasi

我大王者	盖尔	為有
wa <u>go</u> opo-kimi pa	kinugasa <i>ni</i>	s-eri

"Catching it in a net, my lord makes the moon that travels the distant heaven into his umbrella" (MYS.3.240)

**Absolute constructions:** NP wo ADJ-mi

() 情尔者 思渡跡 縁乎無三

kokoro *ni* pa omopi-watare*do* **yosi** *wo* na-*mi* 

heart in TOP think-persist chance ACC none

外耳為而 嘆曽吾為

yoso nomwi nisite nageki so wa ga suru

aside only COP sigh FOC I GEN do

"Although in my heart (I) have thought (of you) constantly, there being no **opportunity**, only as a bystander, all I do is sigh."
(MYS.4.714)

#### **Exclamation**

() 和岐豆紀賀 斯多能 wakidukwi ga sita no armrest GEN under GEN

伊多爾母賀 **阿世袁**ita ni moga **ase wo**plank COP DES **brother ACC** 

"(...) would that I were even its bottom board! -- O my brother!--" (KK.104, translation by Phillipi)

## Differential Object Marking in Old Japanese

小松 下乃 草 乎 苅 核
 kwomatu ga sita no kaya wo kara-sane
 small.pine GEN under GEN grass ACC cut-please

<sup>&#</sup>x27;Please cut the grass under the small pine' (MYS 1.11)

小松 下乃 草 乎 苅 核 kwomatu ga sita no kaya wo kara-sane small.pine GEN under GEN grass ACC cut-please

'Please cut the grass under the small pine' (MYS 1.11)

() 安可見夜麻 **久左祢** 可利曾気 *Akami-yama kusane Ø kari-soke* Akami-mountain **grass** cut-remove

'At Mount Akami I cut and removed grasses' (MYS 14.3479)

- Previous research, basic concepts
- Supporting data
- Explanatory force of the hypothesis
  - Interpretation of numeral classifiers
  - Interpretation of WH-words
- Utility of the results
  - New interpretations/translations of Old Japanese materials
- Contexts for obligatoriness/optionality of DOM
- Further research

Recent accounts of variable object marking in OJ include:

S.-Y. Kuroda 2008 Yanagida and Whitman 2009 Wrona and Frellesvig 2010 Kinsui 2011 Miyagawa 2012

Recent accounts of variable object marking in OJ include:

S. Y. Kuroda 2008



Yanagida and Whitman 2009

Wrona and Frellesvig 2010

Kinsui 2011

Miyagawa 2012

Recent accounts of variable object marking in OJ include:

S.-Y. Kuroda 2008

Yanagida and Whitman 2009 Specificity

Wrona and Frellesvig 2010

Kinsui 2011

Miyagawa 2012

- Variable object marking in Old Japanese is an instance of **Differential Object Marking** (DOM)

- ▶ DOM is mostly described in terms of either
  - semantic features
  - *information structure* (see Dalrymple and Nikolaeva 2011 for overview)
- ◆ DOM is found for example in Hungarian; Turkish; Hindi...

What is expressed by DOM in OJ is the property of **Specificity**.

#### **Specificity**

#### **Definite noun phrases** are specific:

- the boy in my class is tall: specific

#### Indefinite noun phrases can be specific or non-specific

- <u>a boy in my class</u> is tall: **specific**
- <u>a boy</u> got sick: **specific** or **non-specific**
- there might be monsters in the closet: non-specific

#### Definite, indefinite specific, non-specific

- Specificity is the association of an NP with some retrievable (either definite or presupposed) entity in the domain of discourse. Another word for this type of specificity is "discourse-linking" (D-linking): *a man on the bus*.
- Accordingly, definiteness is just a special case of specificity: all definite NPs are specific: *the man on the bus*
- Indefinite NPs can be either specific or non-specific. *He has developed a habit.*
- Non-specific NPs can be associated with indefinite sets: *I ate some kind of mushroom*; *She found a child's lunchbox*, etc.

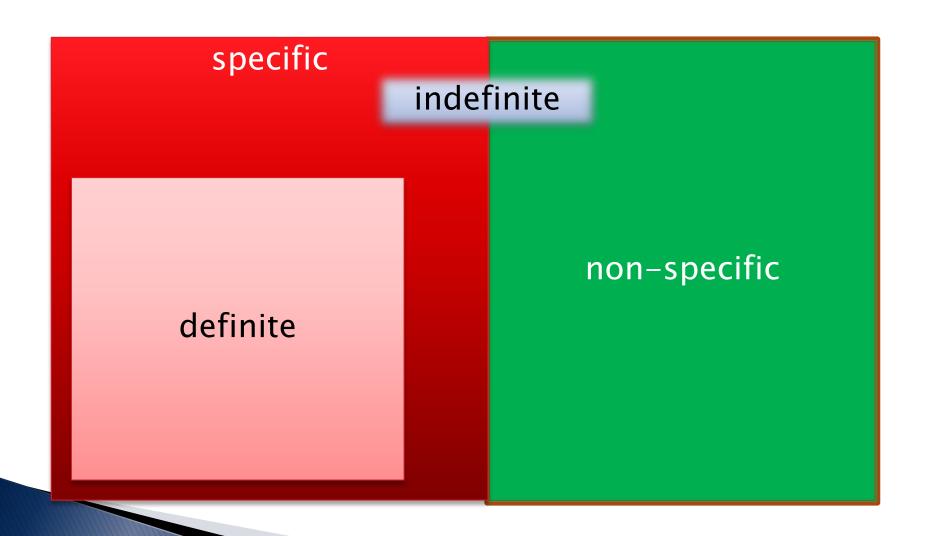
## D-linking vs. epistemic specificity

NPs with indefinite reference *for which the speaker has some* particular entity in mind are **epistemically specific**: I ate a certain kind of mushroom. Compare:

() A boy in my class got sick, but I don't know which one.

The expression *a boy in my class* is epistemically non-specific, but to the extent that *a boy* is associated through group membership with a definite entity *my class*, the whole NP is D-linked.

#### Definite, indefinite specific, non-specific



#### **Observations**

- 1. Accusative marked objects are specific
- 2. Non-specific objects are not accusative marked
- 3. Some specific objects are not accusative marked

#### Definite reference

() 吾君尔 戲奴者戀良思 **給有**wa ga kimi ni wake pa kwopu rasi **tabari-taru**I GEN lord DAT I TOP yearn seem **bestow-STAT** 

茅花乎雖喫弥瘦尓夜須tubana wo pamedoyase ni yasubloodgrass ACC eatwaste.away

"It seems I am in love with my lord. Though I eat **the bloodgrass flowers you sent me**, I only grow thinner." (MYS.8.1462)

#### Non-specific reference

() 宇利波米婆 胡藤母意母保由

**uri** pameba kwo-domo omopoyu

**melon** eat children come.to.mind

久利波米婆 麻斯提斯能波由

kuri pameba masite sinwopa-yu

**chestnuts** eat surpass admire-PASS.

"When I eat **melon**, my children come to mind. When I eat **chestnuts**, they are admired even more." (**MYS.5.802**)

## Specific object without accusative marking

() 阿麻登夫 登理母都加比曾 多豆賀泥能

ama-tobu tori mo tukapi so tadu ga ne no

heaven-fly bird even messenger FOC goose GEN cry GEN

岐許延牟登岐波 和賀那斗波佐泥

kikoye-mu toki pa **wa ga na** twopa-sane

be heard-shall time TOP I GEN name say-please

"Even the cranes that fly in heaven are my messengers. When they are within your hearing, I want you to ask about **my name**!" (KK.85)

#### **Interpretation**

Non-specific objects are not accusative marked

Specific objects are accusative marked;

however, the accusative particle can be dropped in some contexts.

## Where DOM correlates with interpretations of quantification: Floating Quantifiers

- The interpretation of floating quantifiers (FQs) depends on the reference of the host noun from which they are floated.
- If the host noun is specific, the FQ takes either a partitive or a universal interpretation: 例のパンダは二頭メスだ。
- ▶ If the host noun is indefinite the FQ takes a cardinality interpretation: …とすと、竹林からパンダが二頭出てきて…

#### Definite NP hosts FQ

Here the FQ is interpreted as universal.

() ...梓弓 弓腹振起 志乃岐羽矣 ...adusayumi yubara puri-okosi sinokipa wo catalpa.bow bow.belly swing-raise arrow ACC

二手狭 離兼 人斯悔
puta-tu ta-basami panati-kye-mu pito si kuti-wosi
two-thing hand-pinch loose-PST-CNJ person RES mouth-

"Deplorable, the person who (...) raised the bow, pinched **both those arrows,** and shot them away!" (MYS.13.3302)

# Indefinite NP hosts FQ

Here the FQ is interpreted cardinally.

 營務始能虚呂望pimusi no koromosilkworm GEN robe

**赴多幣**枳低

puta-pye kite

two-layer wear

介区瀰夜襄利破 kakumi-yadari pa hide-shelter TOP 阿珥予区望阿羅儒 ani yo-ku mo ara-zu at.all good ETOP be-not

"A summer moth coccooned, wearing silk-worms' robes in two layers is not at all acceptable." (NSK.49)

# Indefinite NP hosts FQ

() 每年尔 鮎之走婆 左伎多河 tosi no pa ni ayu si pasiraba sakitakapa every year sweetfish RES run Sakita River

**鸕八**頭可頭氣氐 河瀬多頭袮牟

**u ya-tu** *kadukete* kapase *tadune-mu* 

cormorant eight-thing dive river.stream search

"Each year when the sweetfish run, making **our many cormorants** dive, we shall scour rivers and streams." (MYS.19.4158)

# Definite NP hosts FQ

上瀬尓 鵜矣八頭漬 kami <u>tu</u> se *ni* **u** wo ya-<u>tu</u> kaduke upper GEN stream DAT cormorant ACC make.dive

下瀬尓 鵜**矣八**頭漬 simo <u>tu</u> se *ni* **u** *wo* **ya-tu** kaduke lower GEN stream DAT cormorant ACC make.dive

"...making all eight of my cormorants dive in the upper reaches, making all eight of my cormorants dive in the lower reaches..." (MYS.13.3330)

# Where DOM correlates with interpretations of quantification: WH-words

- ▶ Some NPs are inherently definite, e.g.:
  - Pronouns
  - Proper nouns
  - Relational nouns
  - Unique entities
- Most NPs have their status determined by context (aside from the presence or absence of DOM), e.g.:
  - NPs denoting previously mentioned entities
  - NPs denoting entities present in the speech situation
- Some NPs are normally indefinite, e.g.:
  - NPs headed by or modified by WH-words

### Normally indefinite NPs

For normally indefinite NPs, DOM can make a crucial difference in the NP's interpretation.

#### **DOM** with WH-NPs

When a normally nonspecific WH-word appears as a NP head or a NP modifier, the resulting NP is interpreted as non-specific unless it accusative marked:

*tare no tuma* 'whose spouse' →

tare no tuma wo 'which person's spouse

When a normally specific WH-word (*idure* 'which') appears as a NP head or a NP modifier, that NP must be interpreted as specific.

#### **DOM** and WH-words

We looked exhaustively at NPs involving the three following WH- words:

```
nani 'what' (indefinite, normally non-specific)
```

ta, tare 'who' (indefinite, normally non-specific)

idure 'which' (indefinite, always specific)

- ▶ 95 examples with *ta* or *tare* as either an NP head or an NP complement.
- ▶ 10 as objects
  - 6 are specific and have accusative marking
  - 4 are non-specific and have no accusative marking

Here *ta* 'who' appears as an NP complement, but the reference is specific ('who among those in the capital') and the object NP is marked with *wo*.

() 應還 時者成来 京師尓而 kapyeru be-ku toki pa nari-kyeri miyakwo nite return ought time TOP become capital COP

誰手本乎可吾将枕ta ga tamoto wo kawa ga makuraka-muwho GEN sleeve ACC QI GEN lie.upon-shall

"The time has come for us to return. In the capital, which one's sleeve shall I use as my pillow?" (MYS.3.439)

Here *ta* 'who' appears as an NP head, but again the reference is specific ('who out of those who love me') so the object NP is *ACC-marked*.

() 眉根掻 **誰乎**香將見跡 思乍 maywone kaki **tare wo ka** mi-mu to omopitutu eyebrow scratch **who ACC Q** see-shall that think 氣長戀之 妹尓相鴨 ke-naga-ku kwopwi-si imo ni ap-yeru kamo

"Scratching my eyebrow, thinking, 'Which person am I about to see?,' here I am meeting my beloved whom I have longed for day in and day out!" (MYS.11.2614b)

Here *ta* 'who' appears as an NP complement, but the reference is non-specific ('who in the world') and the object NP is bare.

() 都久波尼爾 阿波牟等 伊比志古波 tukupane ni apa-mu to ipi-si kwo pa Tsukuba Peak at meet-would that said girl TOP

多賀己等岐気波加弥尼阿波巣気牟也ta ga koto kikeba kami-ne apa-zu-kye-muwho GEN word heard Qsleep meet-not-must.have

"The girl who said we would meet on Tsukuba Peak, because she heard **whose words** must it have been that she won't come to sleep with me?" (FK.2)

#### DOM with WH-NPs: nani 'what'

- ▶ 99 examples with *nani* as either an NP head or an NP complement.
- ▶ 11 appear in object NPs
  - 8 are specific and have accusative marking
  - 3 are non-specific and have no accusative marking

#### DOM with WH-NPs: nani 'what'

Here *nani* 'what' appears as an NP head, but the reference is specific ('which of the usual beach souvenirs') so the object NP is accusative marked.

() 塩干去者 玉藻苅蔵 家妹之 sipo pwi-*naba* tamamo kari-tumye ipye <u>no</u> imo ga tide ebb-if jewelweed cut-pile home's beloved

濱褁乞者何矣示pamadutwo kopabanani wo simyesa-mubeach.souvenir beg-ifwhat ACC proffer-shall

"When the tide goes out, cut and pile up some jewel-seaweed. If my darling at home asks for a beach souvenir, **which** (of those) shall we proffer?" (MYS.3.360)

#### DOM with WH-NPs: nani 'what'

Here *nani* 'what' appears as an NP complement, so the reference is non-specific ('what kind of inanity') and the object NP is bare.

小豆奈九 何狂言 今更
 adukina-ku nani no tapakoto imasara-ni
 pointless what GEN inanity this.point-COP

小童言為流 老人二四手 warapagoto su*ru* oipito *nisite* babbling do old.person being

"Pointlessly, what sort of inanity, at this late date, are (you) babbling, in spite of (your) being old?" (MYS.11.2582)

#### DOM with WH-NPs: idure 'which'

- ▶ 14 examples in the OCOJ
- ▶ 5 are used in object NPs:
  - in 4 it is specific ('which') and has accusative marking
  - in 1 it is non-specific and has no accusative marking.

#### DOM with WH-NPs: idure 'which'

Here *idure* 'which' modifies an NP head, and the resulting NP is specific and accusative marked.

() 阿米都之乃

ametusi no

heaven.earth GEN

以都例乃可美乎
idure no kami wo
which GEN god ACC

以乃良波加 inoraba ka pray.if Q

有都久之波波爾
utukusi papa ni
adorable mother DAT

麻多己等刀波牟 mata koto-twopa-mu again word-exchange-shall

"If (I) beseech which god of heaven and earth is it that (I) may speak to my dear mother again?" (MYS.20.4392)

# WH-word NP complements and non-specific reference

Here *idure* 'which' appears modifying an NP complement, so the reference is non-specific ('a shelter in which village') and the object NP is bare.

() 十月 雨間毛不置 零尔西者 kamunadukwi amama *mo* oka-zu puri-*ni-se*ba tenth.month rain.gap put-not fall-PERF-PAST.if

誰里之宿可借益idure no satwo noyadwo ka kara-masiwhich is village GENshelter Q

"In the tenth month if it had rained without a break, (I) would have borrowed a shelter in which village?" (MYS.12.3214)

# Applying the hypothesis to underdetermined cases

- We have shown that the hypothesis that accusative marked NPs are specific accounts for a variety of data.
- Now we will show how adding this to our knowledge of the grammar of OJ can enrich our interpretation of texts.

The verb *motome-* (seek) frequently takes NPs with non-specific reference, but there is nothing else in the context to suggest the object isn't specific except the lack of accusative marking.

() 緑兒之 為社乳母者 求云
midorikwo no tame koso *omo pa* motomu <u>to</u> ipe
baby GEN sake FOC wet-nurse seek that say

乳飲哉君之 **於毛**求覧 ti nome ya kimi ga **omo** motomu **ramu** milk drink Q lord GEN **wet-nurse** seek must.be

"Though (we) say it's for a child that one seeks a wet-nurse, could it be that my lord seeks a wet-nurse because he drinks milk?" (MYS.12.2925)

Assuming specific reference for accusative marked NPs, the interpretation for () below changes:

() 春之在者 **妻乎**求等 鴬之 paru sareba **tuma wo** motomu to ugupisu no Spring come **spouse ACC** seek to warbler GEN

木末乎傳 鳴乍本名 konure wo tutapi nakitutu motona branch ACC transit cry in.vain

"When Spring comes, the warbler hops between the branches to find **its mate**, but alas, in vain." (MYS.10.1826)

Accusative marked objects are specific.

() **験無** 物乎不念者 一坏乃 sirusi na-ki mono wo omopa-zu pa pito-tuki no impact none thing ACC think-not TOP one-cup GEN

**濁酒乎** 可飲有良師 可飲有良師 nomu be-ku aru *rasi* **cloudy wine ACC** drink should seem

"Rather than worrying about **this thing which has no impact**, it seems better to drink **this cup of cloudy wine**." (MYS.3.338)

#### Non-specific object without accusative marking

() 痛醜 賢良乎為跡 **酒**不飲 ana miniku sakasi-ra wo su to sake noma-nu Oh! ugly clever-ACC do PURP wine drink-not

人乎熟見者 pito wo yo-ku mireba people ACC well look.at 猿二鴨似 saru *ni ka mo* ni-mu monkey DAT resemble

"How hideous! When you look at people who, in order to appear clever, don't drink **wine**, they will resemble monkeys." (MYS.3.344)

# Differential Object Marking in OJ

#### **Conclusion**

Non-specific objects are not accusative marked

Specific objects are accusative marked;

however, the accusative particle can be dropped in some contexts.

### Accusative case drop

Factors which (may) contribute to allowing or disallowing drop of the accusative case particle on specific objects:

- Clause type: certain clause types never allow accusative drop
- Lexical properties:
  - Animacy
  - Quantification: WH-words, FQ
  - *mat* 'await' idiosyncratically allows accusative drop, overriding other factors

# Clause type

In Adnominal clauses (and to a large extent in Conditional and Provisional clauses) in OJ, specific objects are always accusative marked

# Clause type

In some types of main clauses (Conclusive, Imperative, Optative, Exclamatory, Negative Conjectural) specific objects can be unmarked.

#### **Conclusive**

The particle *to* and the FQ *puta-tu* indicate that the reference is definite, but the object NP is unmarked.

河津跡二忘金都毛kapadu to puta-tuwasure-kane-tu mofrog and two-thingsforget-fail-PERF SFP

"How I can't forget the plover and the frog that cry on the pristine banks of the Sapo River, either of them!" (MYS.7.1123)

# Lexical properties

Quantification: WH-words, FQ

Animacy: Where the following 1<sup>st</sup> and 2<sup>nd</sup> person pronouns comprise an object NP, that NP is always accusative marked:

- wa 'I'
- ware 'I'
- na 'you'
- nare 'you'

#### mat-'wait'

The verb *mat-* 'wait' can take unmarked definite objects:

()	久堅之	天河津尓	舟泛而
	pisakata no	ama <u>no</u> kapatu <i>ni</i>	pune ukete
	distant COP	heaven GEN ford DAT	boat float

君待夜等者 不明毛有寐鹿 kimi matu ywo-ra pa ake-zu *mo* ara-*nu ka* lord await night TOP dawn ETOP be-not Q

"This night when I await **my lord** floating a boat in the shallow of the river of far-off heaven, will it never dawn?" (MYS.10.2070)

#### mat- 'wait'

() 奴婆多麻能 欲和多流都奇乎 伊久欲布等
nubatama no ywo wataru tukwi wo ikuywo pu to
jewel COP night traverse moon ACC how.many transpire that

余美都追伊毛波和礼麻都良牟曾yomitutu imo paware matu ramu socounting beloved TOPme wait must.be FOC

"Measuring the moon that crosses the jewel-black night by how many nights pass, my beloved, no doubt (she) awaits **me**." (MYS.18.4072)

#### Further research

- Corpus survey of DOM across all clause types examining in particular which contexts allow drop of accusative case on specific objects.
- Diachronic study to determine the factors for the loss of DOM after OJ

#### References

- Dalrymple, Mary and Irina Nikolaeva. 2011. *Objects and information structure*. CUP.
- Enç, Mürvet. 1991. The semantics of specificity. Linguistic Inquiry 22, 1-25.
- Göksel and Celia Kerslake. 2005. Turkish: a comprehensive grammar. Routledge.
- Kinsui, Satoshi. 2011. Tôgôron [Syntax]. *Bunpôshi* [Historical grammar], ed. by Kinsui, Takayama, Kinuhata, and Okazaki, 77-166. Iwanami.
- Kuroda, S.-Y. 2008. On the syntax of Old Japanese. *Current issues in the history and structure of Japanese*, ed. by Frellesvig, Shibatani, and Smith, 263-317. Kurosio.
- Miyagawa, Shigeharu. 2012. Case, argument structure and word order. Routledge.
- Yanagida, Yuko and John Whitman. 2009. Word order and alignment in Old Japanese. *Journal of East Asian Linguistics* 18(2), 101-144.
- Wrona, Janick and Bjarke Frellesvig. 2010. The Old Japanese case system: The function of wo. Japanese/Korean Linguistics 17, 565-580. CSLI Publications.